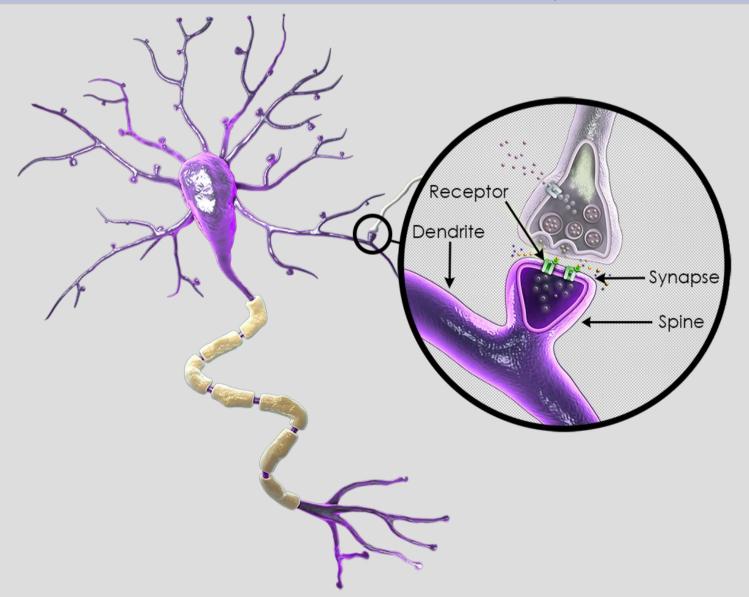
### Neural networks (Ch. 18)

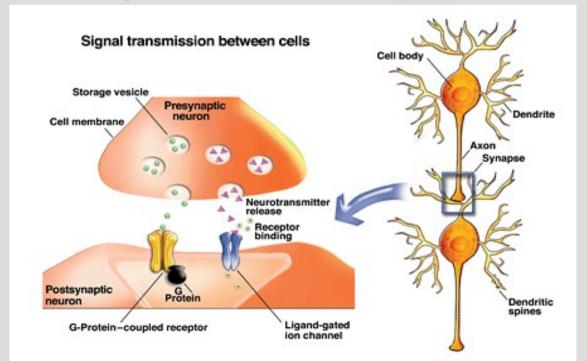


Computer science is fundamentally a creative process: building new & interesting algorithms

As with other creative processes, this involves mixing ideas together from various places

Neural networks get their inspiration from how brains work at a fundamental level (simplification... of course)

(Disclaimer: I am **not** a neuroscience-person) Brains receive small chemical signals at the "input" side, if there are enough inputs to "activate" it signals an "output"



An analogy is sleeping: when you are asleep, minor sounds will not wake you up

However, specific sounds in combination with their volume will wake you up



Other sounds might help you go to sleep (my majestic voice?)

Many babies tend to sleep better with "white noise" and some people like the TV/radio on

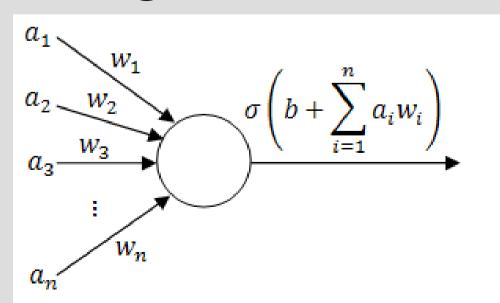




#### Neural network: basics

Neural networks are connected nodes, which can be arranged into layers (more on this later)

First is an example of a perceptron, the most simple NN; a single node on a single layer

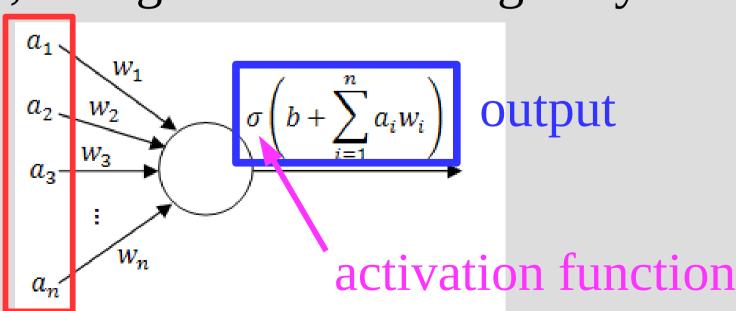


#### Neural network: basics

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inputs



#### Mammals

Let's do an example with mammals...

First the definition of a mammal (wikipedia):

Mammals [posses]:

- (1) a neocortex (a region of the brain),
- (2) hair,
- (3) three middle ear bones,
- (4) and mammary glands

#### Mammals

Common mammal misconceptions:

- (1) Warm-blooded
- (2) Does not lay eggs

Let's talk dolphins for one second.

http://mentalfloss.com/article/19116/if-dolphins-are-mammals-and-all-mammals-have-hair-why-arent-dolphins-hairy

Dolphins have hair (technically) for the first week after birth, then lose it for the rest of life ... I will count this as "not covered in hair"

Consider this example: we want to classify whether or not an animal is mammal via a perceptron (weighted evaluation)

We will evaluate on:

- 1. Warm blooded? (WB) Weight = 2
- 2. Lays eggs? (LE) Weight = -2
- 3. Covered hair? (CH) Weight = 3

$$If(2 \cdot WB + -2 \cdot LE + 3 \cdot CH > 1) \Rightarrow Mammal$$

Consider the following animals: Humans {WB=y, LE=n, CH=y}, mam=y 2(1) + -2(-1) + 3(1) = 7 > 1 ... Correct! Bat {WB=sorta, LE=n, CH=y}, mam=y 2(0) + -2(-1) + 3(1) = 5 > 1 ... Correct! What about these? Platypus {WB=y, LE=y, CH=y}, mam=y Dolphin {WB=y, LE=n, CH=n}, mam=y Fish {WB=n, LE=y, CH=n}, mam=n Birds {WB=y, LE=y, CH=n}, mam=n

But wait... what is the general form of:

$$w_1x + w_2 \cdot y + w_3 \cdot z > c$$

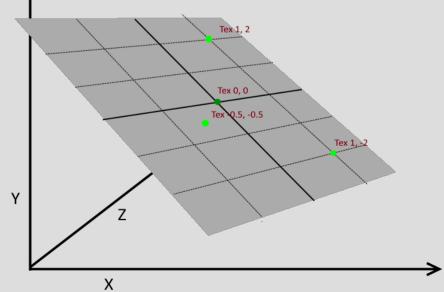
But wait... what is the general form of:

$$w_1x + w_2 \cdot y + w_3 \cdot z > c$$

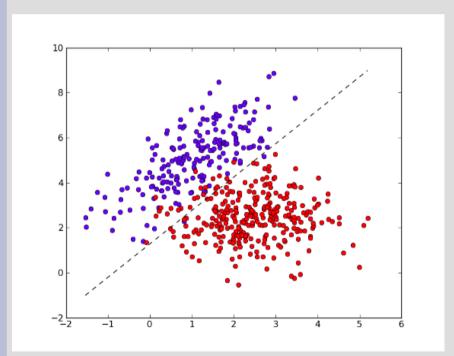
This is simply one side of a plane in 3D,

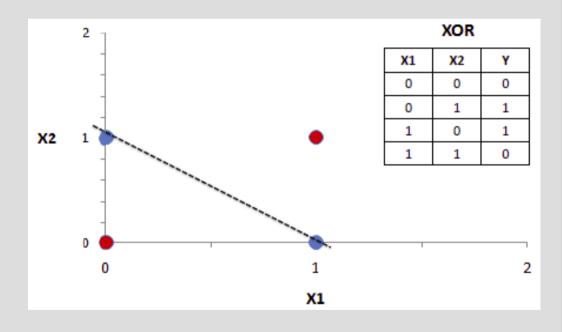
so this is trying to classify \( \) all possible points using

a single plane...



If we had only 2 inputs, it would be everything above a line in 2D, but consider XOR on right





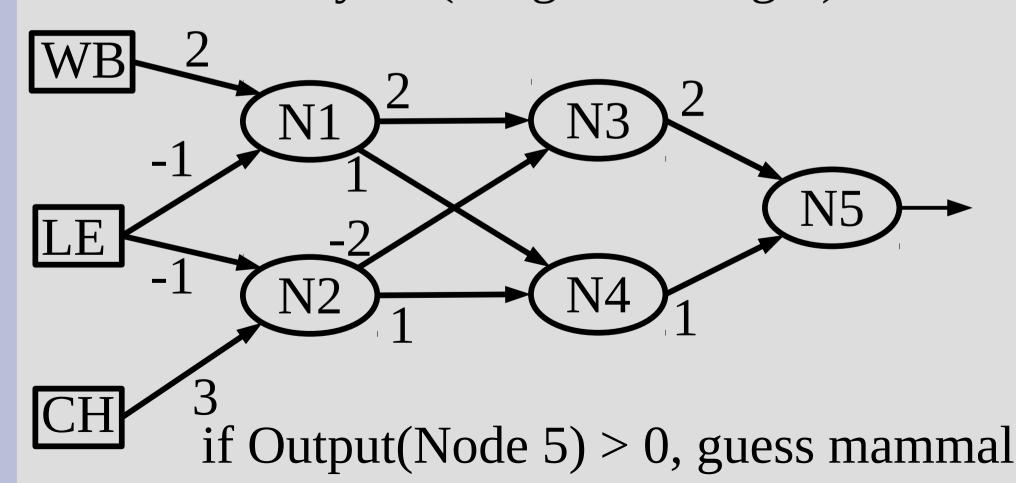
There is no way a line can possibly classify this (limitation of perceptron)

Today we will look at <u>feed-forward</u> NN, where information flows in a single direction

Recurrent networks can have outputs of one node loop back to inputs as previous

This can cause the NN to not converge on an answer (ask it the same question and it will respond differently) and also has to maintain some "initial state" (all around messy)

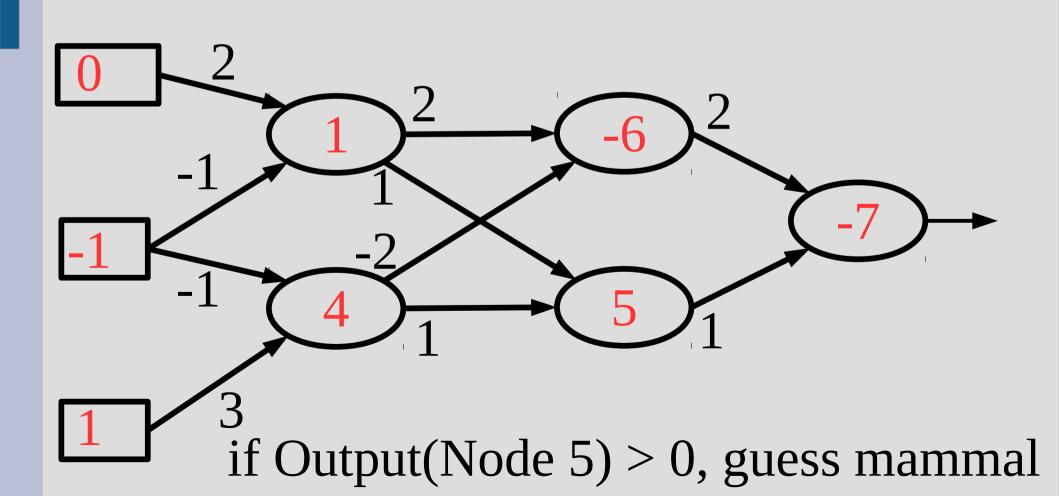
Let's expand our mammal classification to 5 nodes in 3 layers (weights on edges):



You try Bat on this:{WB=0, LE=-1, CH=1} Assume (for now) output = sum input

if Output(Node 5) > 0, guess mammal

Output is -7, so bats are not mammal... Oops...



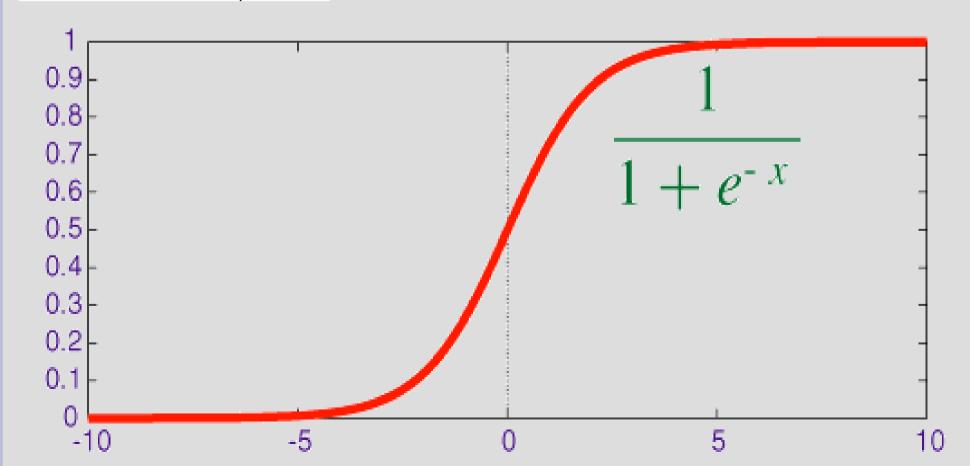
In fact, this is no better than our 1 node NN

This is because we simply output a linear combination of weights into a linear function (i.e. if f(x) and g(x) are linear... then g(x)+f(x) is also linear)

Ideally, we want a activation function that has a limited range so large signals do not always dominate

One commonly used function is the sigmoid:

$$S(x) = \frac{1}{1 + e^{-x}}$$



The neural network is as good as its structure and weights on edges

Structure we will ignore (more complex), but there is an automated way to learn weights

Whenever a NN incorrectly answer a problem, the weights play a "blame game"...

- Weights that have a big impact to the wrong answer are reduced

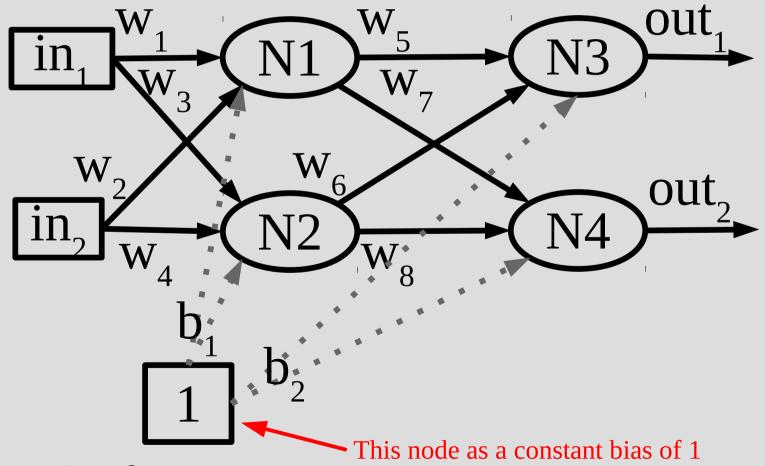
To do this blaming, we have to find how much each weight influenced the final answer

#### Steps:

- 1. Find total error
- 2. Find derivative of error w.r.t. weights
- 3. Penalize each weight by an amount proportional to this derivative

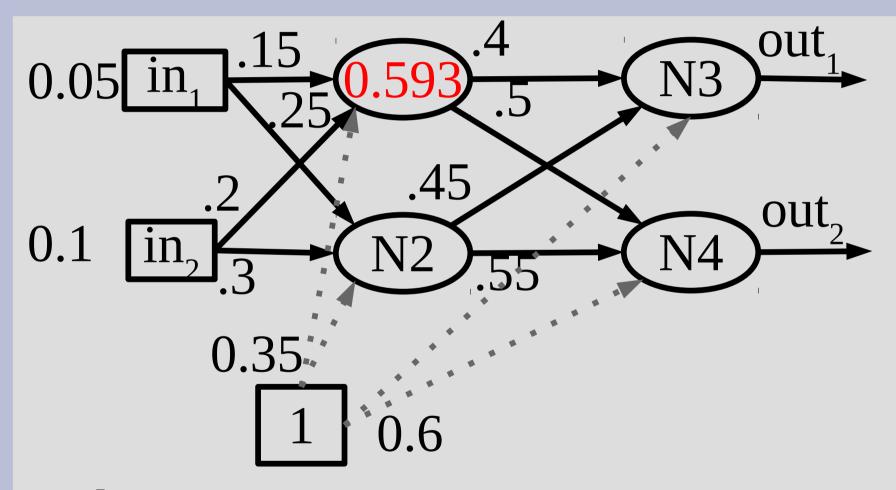
(This is just "gradient descent")

Consider this example: 4 nodes, 2 layers

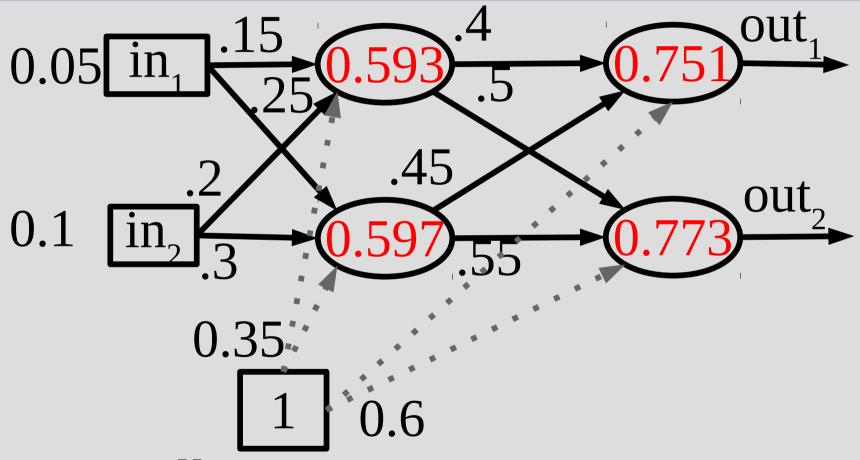


Example from:

https://mattmazur.com/2015/03/17/a-step-by-step-backpropagation-example/



Node 1: 0.15\*0.05+0.2\*0.1+0.35=0.3775 input thus it outputs (all edges) S(0.3775)=0.59327



Eventually we get:  $out_1 = 0.751$ , out  $_2 = 0.773$ Suppose wanted:  $out_1 = 0.01$ , out  $_2 = 0.99$ 

We will define the error as:  $\sum_{i} (correct_i - output_i)^2$  (you will see why shortly)

Suppose we want to find how much w<sub>5</sub> is to blame for our incorrectness

We then need to find:  $\frac{\partial Erron}{\partial w_5}$  Apply the chain rule:

$$\frac{\partial Error}{\partial out_1} \cdot \frac{\partial S(In(N_3))}{\partial In(N_3)} \cdot \frac{\partial In(N_3)}{\partial w_5}$$

$$Error = \frac{\sum_{i} (correct_{i} - output_{i})^{2}}{2}$$

$$\frac{\partial Error}{\partial out_{1}} = -(correct_{1} - out_{1})$$

$$= -(0.01 - 0.751) = 0.741$$

$$\frac{\partial S(In(N_{3}))}{\partial In(N_{3})} = S(In(N_{3})) \cdot (1 - S(In(N_{3})))$$

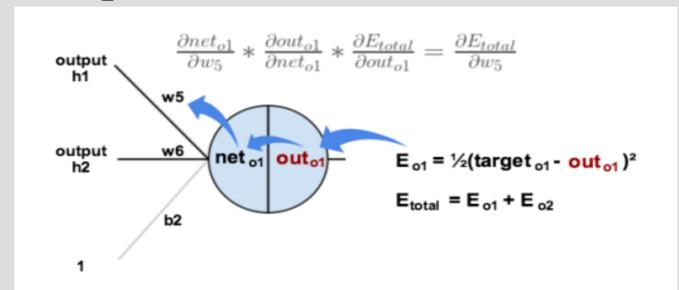
$$= 0.751 \cdot (1 - 0.751) = 0.187$$

$$\frac{\partial In(N_{3})}{\partial w_{5}} = \frac{\partial w_{5} \cdot Out(N_{1}) + w_{6} \cdot Out(N_{2}) + b_{2} \cdot 1}{\partial w_{5}}$$

$$= Out(N_{1}) = 0.593$$

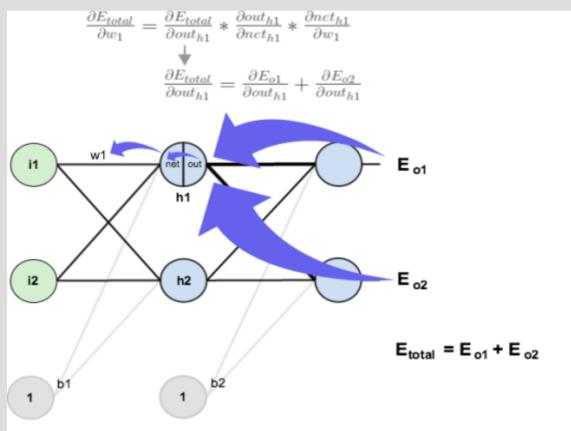
Thus,  $\frac{\partial Error}{\partial w_5} = 0.593 \cdot 0.187 \cdot 0.741 = 0.0822$ 

#### In a picture we did this:



Now that we know w5 is 0.08217 part responsible, we update the weight by:  $w_5 \leftarrow w_5 - \alpha * 0.0822 = 0.3959$  (from 0.4)  $\alpha$  is learning rate, set to 0.5

For w<sub>1</sub> it would look like:



(book describes how to dynamic program this)

Specifically for w₁ you would get:

$$\frac{\partial Error}{\partial S(In(N_1))} = \frac{\partial Error_1}{\partial S(In(N_1))} + \frac{\partial Error_2}{\partial S(In(N_1))}$$

$$\frac{\partial S(In(N_1))}{\partial In(N_1)} = S(In(N_1)) \cdot (1 - S(In(N_1)))$$
  
= 0.593 \cdot (1 - 0.593) = 0.241

$$=\frac{\frac{\partial In(N_3)}{\partial w_5}}{In_1=0.05} = \frac{\frac{\partial w_1 \cdot In_1 + w_2 \cdot In_2 + b_1 \cdot 1}{\partial w_5}}{\partial w_5}$$

Next we have to break down the top equation...

$$\frac{\partial Error}{\partial S(In(N_1))} = \frac{\partial Error_1}{\partial S(In(N_1))} + \frac{\partial Error_2}{\partial S(In(N_1))}$$

$$\frac{\partial Error_1}{\partial S(In(N_1))} = \frac{\partial Error_1}{\partial S(In(N_3))} \cdot \frac{\partial S(In(N_3))}{\partial In(N_3)} \cdot \frac{\partial In(N_3)}{\partial S(In(N_1))}$$
From before...
$$\frac{\partial Error_1}{\partial S(In(N_3))} \cdot \frac{\partial S(In(N_3))}{\partial In(N_3)} \cdot \frac{\partial S(In(N_3))}{\partial In(N_3)}$$

$$= 0.593 \cdot 0.187 = 0.111$$

$$\frac{\partial In(N_3)}{\partial S(In(N_1))} = \frac{\partial w_5 \cdot S(In(N_1)) + w_6 \cdot S(In(N_2)) + b_1 \cdot 1}{\partial S(In(N_1))}$$

Thus, 
$$\frac{\partial Error_1}{\partial S(In(N_1))} = 0.111 \cdot 0.4 = 0.0444$$

 $= w_5 = 0.4$ 

#### Similarly for Error, we get:

$$\frac{\partial Error}{\partial S(In(N_1))} = \frac{\partial Error_1}{\partial S(In(N_1))} + \frac{\partial Error_2}{\partial S(In(N_1))} \\ = 0.0444 + -0.0190 = 0.0254$$

Thus, 
$$\frac{\partial Error}{\partial w_1} = 0.0254 \cdot 0.241 \cdot 0.05 = 0.000306$$

Update 
$$w_1 \leftarrow w_1 - \alpha \frac{\partial Error}{\partial w_1} = 0.15 - 0.5 \cdot 0.000306 = 0.1498$$

You might notice this is small...

This is an issue with neural networks, deeper the network the less earlier nodes update

Despite this learning shortcoming, NN are useful in a wide range of applications:

Reading handwriting

Playing games

Face detection

Economic predictions

Neural networks can also be very powerful when combined with other techniques (genetic algorithms, search techniques, ...)

**Examples:** 

https://www.youtube.com/watch?v=umRdt3zGgpU

https://www.youtube.com/watch?v=qv6UVOQ0F44

https://www.youtube.com/watch?v=xcIBoPuNIiw

https://www.youtube.com/watch?v=0Str0Rdkxxo

https://www.youtube.com/watch?v=l2\_CPB0uBkc

https://www.youtube.com/watch?v=0VTI1BBLydE

AlphaGo/Zero has been in the news recently, and is also based on neural networks

AlphaGo uses Monte-Carlo tree search guided by the neural network to prune useless parts

Often limiting Monte-Carlo in a static way reduces the effectiveness, much like mid-state evaluations can limit algorithm effectiveness

Basically, AlphaGo uses a neural network to "prune" parts for a Monte-carlo search

